

|   |   |    |   |                          |                  |
|---|---|----|---|--------------------------|------------------|
| IDS Form PTO/SB/08: Substitute for form 1449A/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br><i>(Use as many sheets as necessary)</i> |   |    |   | <b>Complete if Known</b> |                  |
|   |   |    |   | Application Number       | 09/976,443       |
|   |   |    |   | Filing Date              | October 12, 2001 |
|   |   |    |   | First Named Inventor     | Ronald E. Sloan  |
|   |   |    |   | Art Unit                 | 3684             |
|   |   |    |   | Examiner Name            | Nguyen, Nga B.   |
| Sheet   | 1 | of | 1 | Attorney Docket Number   | 10761.0185-01    |

| U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS |             |                                    |  |  |   |
|---|-------------|------------------------------------|--|--|---|
| Examiner<br>Initials                                | Cite<br>No. | Document Number                    | Issue or<br>Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|   |             | Number-Kind Code <i>(if known)</i> |  |  |   |
|   |             | US-5,930,762                       | 07-27-1999                                 | Masch  |   |
|   |             | US-6,119,103                       | 09-12-2000                                 | Basch et al.                                       |   |
|   |             | US-6,186,793                       | 02-13-2001                                 | Brubaker   |   |

**Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.**

| FOREIGN PATENT DOCUMENTS |             |   |                                |  |   |             |
|--------------------------|-------------|---|--------------------------------|--|---|-------------|
| Examiner<br>Initials     | Cite<br>No. | Foreign Patent Document                         | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns,<br>Lines, Where<br>Relevant Passages<br>or Relevant Figures<br>Appear | Translation |
|                          |             | Country Code Number Kind Code <i>(if known)</i> |                                |  |   |             |
|                          |             |   |                                |  |   |             |
|                          |             |   |                                |  |   |             |
|                          |             |   |                                |  |   |             |

| NON PATENT LITERATURE DOCUMENTS |          |   |             |  |
|---------------------------------|----------|---|-------------|--|
| Examiner Initials               | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | Translation |  |
|                                 |          | DAS, S. "Increasing Agent Autonomy by Learning From Events" PADD98; 2nd Int'l. Conf. on the Practical application of Knowledge Discovery and Data Mining; London, UK, 1998; pgs. 241-60.  |             |  |
|                                 |          | Office Action issued in U.S. Patent Application No. 09/431,390; Date Mailed: October 24, 2001 (as indicated on the USPTO file wrapper table of contents).   |             |  |
|                                 |          | Office Action issued in U.S. Patent Application No. 09/520,943; Date Mailed: March 3, 2010.   |             |  |
|                                 |          | Office Action issued in U.S. Patent Application No. 09/930,786, Notice of Allowance; Date Mailed: February 19, 2010.  |             |  |

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.